

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Bing Guo, Ian M. Kennedy, Dosi Dosev, Darina Melnikov, and Mikaela Niekhova

Application No./Patent No.: 10/576,776

Filed/Issue Date: April 20, 2006

Entitled: Method for Preparing and Functionalizing Nanoparticles

The Regents of the University of California, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventors of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 18769, Frame 1963, or for which a copy thereof is attached and is concurrently submitted for recordation.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached and is concurrently submitted for recordation.
2. From _____ To: _____
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3. From _____ To: _____
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment has not been recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

January 19, 2007

Date

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Signature

Attorney for Assignee

Title